



P. I. Fomin Memorial Seminar
Sumy – 2022

Dark Matter in $f(R)$ Gravity

Yuri Shtanov

*Bogolyubov Institute
for Theoretical Physics, Kiev*



$f(R)$ gravity theory

No new fields but one extra scalar degree of freedom

Series expansion of the action:

units $\hbar = c = 1$, signature $(-, +, +, +)$

$$L_g = \frac{M^2}{3} \left(-2\Lambda + R + \frac{R^2}{6m^2} + \dots \right) = \frac{M^2}{3} f(R)$$

Planck mass $M = \sqrt{3/16\pi G} \approx 3 \times 10^{18} \text{ GeV}$

Cosmological constant $\Lambda \approx (3 \times 10^{-33} \text{ eV})^2$

- ▶ For $m \simeq 10^{-5} M \simeq 3 \times 10^{13} \text{ GeV}$, we are dealing with the Starobinsky inflationary model
- ▶ We assume *much smaller* values of m to describe *dark matter* instead: $\text{meV} \lesssim m \lesssim \text{MeV}$

Legendre transform and the scalaron

Jordan frame \rightarrow Einstein frame

Original action

$$\begin{aligned} S_g &= \frac{M^2}{3} \int d^4x \sqrt{-g} f(R) \\ &= \frac{M^2}{3} \int d^4x \sqrt{-g} [\Omega R - \mu(\Omega)] \end{aligned}$$

Assuming $f'(R) > 0$, $f''(R) > 0$

$$\begin{aligned} f'(R) = \Omega &\Rightarrow R = R(\Omega) \\ \mu(\Omega) &= [\Omega R - f(R)]_{R=R(\Omega)} \end{aligned}$$

Conformal transformation:

$$g_{\mu\nu} = \Omega^{-1} \tilde{g}_{\mu\nu}, \quad \Omega = e^{\phi/M}$$

Transformed action

$$\begin{aligned} S_g &= \frac{M^2}{3} \int d^4x \sqrt{-\tilde{g}} \tilde{R} \\ &\quad - \int d^4x \sqrt{-\tilde{g}} \left[\frac{1}{2} (\tilde{\nabla} \phi)^2 + V(\phi) \right] \\ V(\phi) &= \frac{M^2}{3} e^{-2\phi/M} \mu(e^{\phi/M}) \end{aligned}$$

This is the so-called *Einstein frame* of field variables

Extremal points of the potential $V(\phi)$ correspond to R satisfying

$$R f'(R) = 2f(R)$$

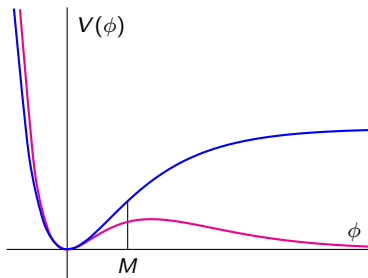
Gravitational action in the Einstein frame

Conformal transformation $g_{\mu\nu} = e^{-\phi/M} \tilde{g}_{\mu\nu}$

$$S_g = \frac{M^2}{3} \int d^4x \sqrt{-\tilde{g}} \tilde{R} - \int d^4x \sqrt{-\tilde{g}} \left[\frac{1}{2} \tilde{g}^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + V(\phi) \right]$$

$$f(R) = -2\Lambda + R + \frac{R^2}{6m^2}, \quad V(\phi) = \frac{1}{2} m^2 M^2 \left(1 - e^{-\phi/M}\right)^2 + V_\Lambda(\phi)$$

$$f(R) = -2\Lambda + \frac{R}{1 - R^2/6m^2}, \quad V(\phi) = 2M^2 m^2 e^{-\phi/M} \left(1 - e^{-\phi/2M}\right)^2 + V_\Lambda(\phi)$$



$$V_\Lambda(\phi) = \frac{2}{3} \Lambda M^2 e^{-2\phi/M}$$

Neglect the cosmological constant Λ :

$$V(\phi) \approx \frac{1}{2} m^2 \phi^2$$

around the minimum

Lower bound on the mass m

(from now on we work in the Einstein frame and remove tildes)

In matter Lagrangian, $L_m(e^{-\phi/M}g_{\mu\nu}, \Psi)$:

$$(\square + m^2)\phi = -\frac{1}{2M}T^\mu{}_\mu$$

Exchange of the scalaron ϕ leads to the presence of additional Yukawa forces ([Stelle, 1978](#))

$$\Phi_{\text{grav}} = -\frac{2GM}{r} \left(1 + \frac{1}{3}e^{-mr}\right), \quad \frac{1}{m} \approx \left(\frac{2 \times 10^{-4} \text{ eV}}{m}\right) \text{ mm}$$

Current estimates give the lower bound on m ([Kapner et al., 2007](#); [Adelberger et al., 2007](#); [Perivolaropoulos and Kazantidis, 2019](#))

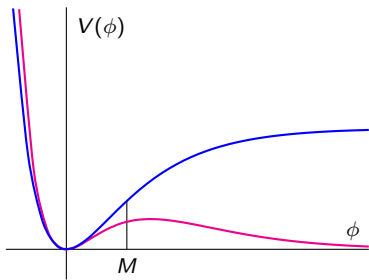
$$m \geq 2.7 \times 10^{-3} \text{ eV} \quad \text{at 95\% C.L.}$$

Scaloron as a dark-matter candidate

(Cembranos, 2008)

Einstein frame:

$$S_g = \frac{M^2}{3} \int d^4x \sqrt{-g} R - \int d^4x \sqrt{-g} \left[\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + V(\phi) \right]$$



The scalaron ϕ , in principle, can play the role of dark matter (Cembranos, 2008). Consideration of its decay gives an upper bound

$$m \lesssim 1 \text{ MeV}$$

(discussed below)

How are the initial conditions formed?

The Higgs sector of the Standard Model

The only sector not invariant with respect to conformal transformations

Yu. S., Physics Letters B **820**, 136469 (2021)

$$S_H = - \int d^4x \sqrt{-g} \left[g^{\mu\nu} (D_\mu \Phi)^\dagger D_\nu \Phi + \lambda \left(\Phi^\dagger \Phi - \frac{v^2}{2} \right)^2 \right], \quad \Phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$$

$$v \approx 246 \text{ GeV}, \quad m_H = \sqrt{2\lambda}v \approx 125 \text{ GeV}, \quad \lambda \approx 0.13$$

Conformal transformation (Jordan frame \rightarrow Einstein frame):

$$g_{\mu\nu} \rightarrow e^{-\phi/M} g_{\mu\nu} \quad \Phi \rightarrow e^{\phi/2M} \Phi \quad \psi \rightarrow e^{3\phi/4M} \psi$$

$$S_H = - \int d^4x \sqrt{-g} \left[(D_\mu \Phi)^\dagger D^\mu \Phi + \frac{1}{2M} \partial_\mu (\Phi^\dagger \Phi) \partial^\mu \phi + \frac{1}{4M^2} \Phi^\dagger \Phi \partial_\mu \phi \partial^\mu \phi \right. \\ \left. + \lambda \left(\Phi^\dagger \Phi - e^{-\phi/M} \frac{v^2}{2} \right)^2 \right]$$

Interaction is suppressed by powers of M^{-1}

Mixing between the Higgs field and the scalaron

- Unitary gauge: $\Phi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ h \end{pmatrix}$, $h = v + \varphi$

Quadratic part of the Lagrangian $L_\phi + L_H$:

$$L_2 = -\frac{1}{2}(\partial\varphi)^2 - \frac{1}{2}\left(1 + \frac{v^2}{4M^2}\right)(\partial\phi)^2 - \frac{v}{2M}\partial\varphi\partial\phi \\ - \lambda v^2\left(\varphi + \frac{v}{2M}\phi\right)^2 - \frac{1}{2}m^2\phi^2$$

- A shift $\varphi = \chi - \frac{v}{2M}\phi$ Note that $\frac{v}{2M} \sim 10^{-16}$

$$L_2 = -\frac{1}{2}(\partial\chi)^2 - \frac{1}{2}(\partial\phi)^2 - \lambda v^2\chi^2 - \frac{1}{2}m^2\phi^2, \quad m_\chi = \sqrt{2\lambda}v$$

Coupling of the scalaron to other fields:

$$-\gamma h\bar{\psi}\psi \rightarrow \frac{\gamma v}{2M}\phi\bar{\psi}\psi = \frac{m_\psi}{2M}\phi\bar{\psi}\psi, \quad -\frac{m_W^2}{M}\phi W_\mu^+ W^{-\mu} - \frac{m_Z^2}{2M}\phi Z_\mu Z^\mu$$

Anomalous couplings and the scalaron decays

Conformal transformation of matter variables $q \rightarrow q'$ in the path integral does not leave the integration measure invariant (Fujikawa's (1979) method of obtaining quantum anomalies):

$$\int e^{iS[q]} Dq = \int e^{iS'[q']} J[q'] Dq' = \int e^{i(S'[q'] + \Delta S'[q'])} Dq'$$

Anomalous couplings between the scalaron and the gauge fields:

$$\Delta \mathcal{L}' = \left(C \alpha_g \frac{\phi}{M} + \dots \right) \text{tr} F^2, \quad \alpha_g = \frac{g_g^2}{4\pi} \text{ is the gauge coupling constant}$$

They allow for the scalaron decay into photons, with lifetime

$$\tau \sim \frac{M^2}{\alpha_{\text{em}}^2 m^3} \sim 10^{36} \left(\frac{\text{eV}}{m} \right)^3 \text{ yr}$$

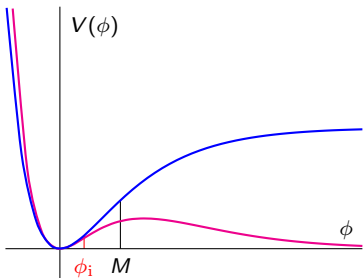
Compare this with the age of the universe 1.4×10^{10} yr. Non-observation of the possible γ flux from the scalaron dark-matter decays gives the upper bound

$$m \lesssim 1 \text{ MeV}$$

(Cembranos, 2008)

The issue of initial conditions

very similar to the case of axion dark matter



$$\ddot{\phi} + 3H\dot{\phi} + m^2\phi = 0$$

Cembranos, 2008: The scalaron field is “frozen” at some value ϕ_i as $3H \gg m$ and starts oscillating as $3H \lesssim m$. There are two free parameters in this scenario, m and ϕ_i , which can be tuned so as to obtain the presently observed dark-matter density.

In order to obtain predominantly *adiabatic* dark-matter density perturbations ($\rho_{\text{dm}}/s = \text{const}$, supported by observations of the CMB anisotropy), one must ensure that ϕ_i have small variation over the observable part of the universe at high temperatures:

$$\left\langle \left(\frac{\delta \rho_{\phi}}{\rho_{\phi}} \right)^2 \right\rangle \lesssim 0.02 \left\langle \left(\frac{\delta \rho}{\rho} \right)^2 \right\rangle \sim 10^{-11}$$

Inflation and initial conditions for the scalaron

Y.S., arXiv:2207.00267 (July, 1)

Inflaton ζ in the Jordan frame

$$L_{\text{infl}} = -\frac{1}{2}(\partial\zeta)^2 - W(\zeta),$$

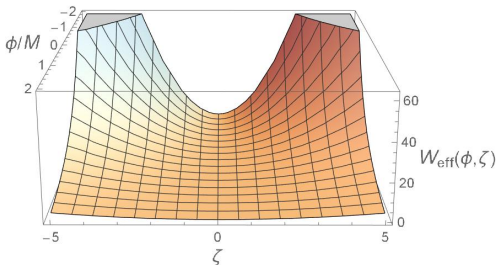
In the Einstein frame, after the conformal transformation $\zeta \rightarrow \Omega^{1/2}\zeta$, it takes the form:

$$L_{\text{infl}} = -\frac{1}{2}(\partial\zeta)^2 - \frac{1}{2M}\zeta(\partial\zeta\partial\phi) - \frac{1}{8M^2}\zeta^2(\partial\phi)^2 - \underbrace{e^{-2\phi/M}W(e^{\phi/2M}\zeta)}_{W_E(\phi,\zeta)}$$

Example: $W(\zeta) = \frac{1}{2}m_\zeta^2\zeta^2$:

$$W_E(\phi,\zeta) = \frac{1}{2}m_\zeta^2 e^{-\phi/M}\zeta^2$$

$$\langle\delta\phi^2\rangle \simeq \left(\frac{H_{\text{infl}}}{2\pi}\right)^2$$



Post-inflationary evolution of the scalaron

$$L_{\text{eff}} = -\frac{1}{2}(\partial\phi)^2 - \frac{1}{2}(\partial h)^2 - \underbrace{\frac{1}{2M}h(\partial h\partial\phi) - \frac{1}{8M^2}h^2(\partial\phi)^2}_{\text{negligible interaction}}$$

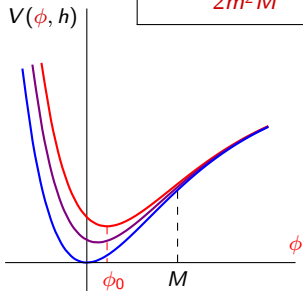
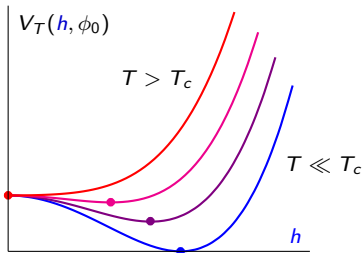
$$- \underbrace{V(\phi) - \frac{\lambda}{4}(h^2 - e^{-\phi/M}v^2)^2}_{\text{joint potential } V(\phi, h)} - \underbrace{\frac{1}{6}T^2h^2 + \frac{1}{100}Th^3}_{\text{thermal correction}}$$

Small parameter:

$$\frac{v^2}{M^2} \sim 10^{-32}$$

$$T_c \approx \sqrt{3\lambda}v \approx 154 \text{ GeV}$$

$$\phi_0 \approx \frac{\lambda v^4}{2m^2 M} \ll M$$



Adiabatic invariant

$$\ddot{\phi} + 3H\dot{\phi} + m^2[\phi - \phi_0(h)] = 0, \quad \phi_0(h) = \phi_0 \left(1 - \frac{h^2}{v^2}\right) = \phi_0 \frac{T^2}{T_c^2}$$

The scalaron remains frozen until $3H \simeq m$, after which it starts oscillating

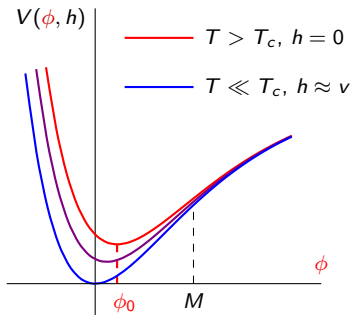
Adiabatic invariant: $I = \frac{a^3}{2} [\dot{\xi}^2 + m^2 \xi^2] \approx \text{const}$ $\xi \equiv \phi - \phi_0(h)$

The jump at the beginning of the electroweak crossover:

$$\dot{\phi}_0|_{T=T_c} = -2H_c \phi_0$$

Two limiting cases for the amplitude of ξ :

$$\xi_i \ll \phi_0 \quad \text{and} \quad \xi_i \gg \phi_0$$



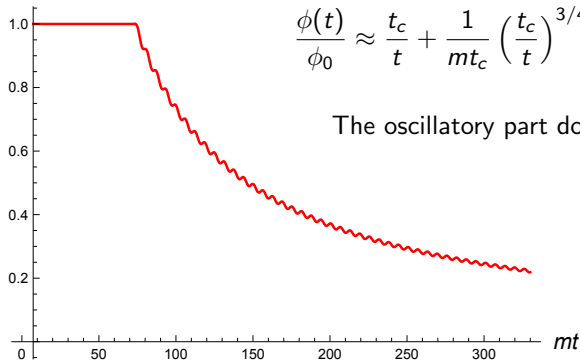
Scenario with special initial conditions

Yu. S., Physics Letters B **820**, 136469 (2021)

The scalaron is excited at the beginning of the electroweak crossover

After the electroweak crossover: $I = 2a_c^3 H_c^2 \phi_0^2$

ϕ/ϕ_0



$$\frac{\phi(t)}{\phi_0} \approx \frac{t_c}{t} + \frac{1}{mt_c} \left(\frac{t_c}{t}\right)^{3/4} \sin[m(t - t_c)]$$

The oscillatory part dominates at $T \lesssim 30 \text{ MeV}$

Dark matter abundance

Energy density in the scalaron oscillations:

$$\rho_\phi = I/a^3 = 2H_c^2 \phi_0^2 \left(\frac{a_c}{a}\right)^3$$

$$\phi_0 \approx \frac{\lambda v^4}{2m^2 M} = 4.8 \times 10^{12} \text{ GeV}$$

The adiabaticity constraint:

$$\left\langle \left(\frac{\delta \rho_\phi}{\rho_\phi} \right)^2 \right\rangle \lesssim 10^{-11}$$

⇓

$$H_{\text{inf}} \lesssim 10^7 \text{ GeV},$$

$$T_r \lesssim 10^{12} \text{ GeV}$$

$$H_c^2 = \frac{\pi^2 g_c T_c^4}{60 M^2}, \quad \left(\frac{a_c}{a_0}\right)^3 = \frac{2 T_0^3}{g_c T_c^3},$$

where g_c is the number of relativistic degrees of freedom at thermal equilibrium (this cancels anyway)

$$\Omega_\phi H_0^2 = \frac{\rho_0}{2M^2} = \frac{\pi^2 \lambda^{5/2} v^9 T_0^3}{10\sqrt{3} M^6 m^4}$$

$$\Omega_\phi h_{100}^2 \simeq 0.12 \left(\frac{4.4 \times 10^{-3} \text{ eV}}{m} \right)^4$$

$$h_{100} = \frac{H_0}{100 \text{ km/s Mpc}}$$

Scenario with generic initial conditions

The field starts oscillating as $3H \simeq m$ (moment 'i')

$$\rho_0 = \rho_i \left(\frac{a_i}{a_0} \right)^3 = \frac{m^2 \xi_i^2}{2} \cdot \frac{2T_0^3}{g_i T_i^3} = \left(\frac{3\pi^2}{5} \right)^{3/4} \frac{m^{1/2} \xi_i^2 T_0^3}{g_i^{1/4} M^{3/2}}$$

$$\Omega_\phi h_{100}^2 = 0.12 \left(\frac{100}{g_i} \right)^{1/4} \left(\frac{m}{\text{eV}} \right)^{1/2} \left(\frac{1.3 \times 10^7 \xi_i}{M} \right)^2$$

Lower bound on m leads to an upper bound

$$\frac{\xi_i}{M} \lesssim 3 \times 10^{-7}$$

The adiabaticity constraint:

$$\left\langle \left(\frac{\delta \rho_\phi}{\rho_\phi} \right)^2 \right\rangle = \frac{4 \langle \delta \xi_i^2 \rangle}{\xi_i^2} = \left(\frac{H_{\text{inf}}}{\pi \xi_i} \right)^2 \lesssim 10^{-11}$$

$$T_r \lesssim 10^{12} \left(\frac{\text{eV}}{m} \right)^{1/8} \text{ GeV}$$

Yukawa gravitational forces

The smallness of the direct specific gravitational manifestations would make it difficult to establish that we are dealing with $f(R)$ gravity. Perhaps, this could be done by detecting a specific Yukawa contribution to gravitational forces at submillimetre spatial scales (Stelle, 1978)

$$\Phi_{\text{grav}} = -\frac{2GM}{r} \left(1 + \frac{1}{3} e^{-mr} \right), \quad \frac{1}{m} \approx \left(\frac{2 \times 10^{-4} \text{ eV}}{m} \right) \text{ mm}$$

Current estimates give (Kapner *et al.*, 2007; Adelberger *et al.*, 2007; Perivolaropoulos and Kazantzidis, 2019)

$$m \geq 2.7 \times 10^{-3} \text{ eV} \quad \text{at 95\% C.L.}$$

This is comparable to our lower bound

$$m \gtrsim 4 \times 10^{-3} \text{ eV}$$

Conclusion

- ▶ The scalaron in $f(R)$ gravity can be a cold dark matter candidate similar to an axion if its mass is in the range $4 \text{ meV} \lesssim m \lesssim 1 \text{ MeV}$.
- ▶ The scalaron is always in the regime $|\phi| \ll M \Rightarrow$ quantum corrections to the scalaron potential are small, and the scalaron with this mass is practically “sterile”
- ▶ Since the scalaron was used to describe dark matter, inflation must be ensured by some other field (this involves a very high-energy physics)
- ▶ Inflationary constraints on this scenario are rather weak; essentially, $T_r \lesssim 10^{12} \text{ GeV}$
- ▶ The theory could be probed by detecting Yukawa gravitational force at sub-millimetre spatial distances

THANK YOU